



SECTION A: Investigator Information

Disclosure Type: ☐ New Annual Disclosure ☐ Update/Amendment to Current Disclosure

Your Name:

Your Lamar E-mail:

Project Title(s)
1:
2:
3:

Funder Name(s)*
1:
2:
3:

Your Role: ☐ Principal Investigator ☐ Lamar University Administration (VP, Chair, Dean, Director)
☐ Co-Principal Investigator ☐ Staff Member

*If project is non-funded or other (internal seed grants, REG, etc.) please note it is N/A or non-funded.

SECTION B: Conflicts of Interest Training

PIs on projects supported by Federal funds are required to complete the Conflicts of Interest training* at www.citiprogram.org. It is recommended that all researchers complete this training.

1. Have you completed Conflicts of Interest Training and received a certificate through the CITI program and is it current (not expired)?

Yes No If "Yes" please attach training certificate when submitting this form.

If "No" certification of training must be completed prior to submit this form.

*Detailed information can be found at <https://www.lamar.edu/research/research-and-sponsoreded-programs/research-compliance/citi.html>.

SECTION C: General Conflicts of Interest

1. Do you have a pre-existing relationship or affiliation with any of the external entities or their staff, or subjects (or potential subjects) affiliated with this research?

☐ Yes ☐ No If "Yes" please explain:

2. Have you been involved with the development of any materials or products affiliated with this research?

☐ Yes ☐ No If "Yes" please explain:

3. Have you benefited or do you stand to benefit from the research or commercialization of its results?

☐ Yes ☐ No If "Yes" please explain:

4. Is there anything about your involvement that may appear to external entities to be a perceived conflict of interest?

☐ Yes ☐ No If "Yes" please explain:



SECTION D: Financial Conflicts of Interest

1. Are you engaged or plan to be engaged in grants or sponsored activities that are **not** funded from Federal agencies?
(These include industrial grants or projects, corporate partnerships, or anything **not** originating from a Federal agency such as DOE, PHS, USDA, etc.)
Yes, this project **is not** Federally funded No, this project **is** Federally funded If “Yes” please answer the following 5 questions.

This section is related to **financial interests that belong to you, your spouse, your domestic partner, or your dependent children and are related to this research protocol.** Financial interests that are unrelated to research do not need to be disclosed.

2. Do you, your spouse, your domestic partner, or your dependent children have or plan to have compensation and/or other payments for service that exceeds \$5,000?
(Do NOT Disclose: Compensation received less than \$5,000, as well as any compensation received for lectures, seminars, teaching engagements, or service on advisory committees relating to federal, state, or local government agencies, an institution of higher education as defined in 20 U.S.C. 1001(a), and compensation from LU funds.)
☐ Yes ☐ No If “Yes” please explain:
3. Do you, your spouse, your domestic partner, or your dependent children have or plan to have equity interests in a publicly-traded entity that exceeds \$5,000?
(Do NOT Disclose: Interests in publicly-traded entities valued at less than \$5,000 as well as interests in any entity through personal retirement accounts and mutual funds.)
☐ Yes ☐ No If “Yes” please explain:
4. Do you, your spouse, your domestic partner, or your dependent children have or plan to have equity interests in a non-publicly-traded entity?
(Do NOT Disclose: Interests in any entity through personal retirement accounts or mutual funds.)
☐ Yes ☐ No If “Yes” please explain:
5. Do you, your spouse, your domestic partner, or your dependent children have or plan to have intellectual property rights and interests upon receipt of income related to such rights and interests that exceeds \$5,000?
(Do NOT Disclose: Unlicensed intellectual property that does not generate income.)
☐ Yes ☐ No If “Yes” please explain:
6. Do you, your spouse, your domestic partner, or your dependent children have or plan to have sponsored or reimbursed travel that exceeds \$5,000?
(Do NOT Disclose: Travel administered through LU funds, and travel reimbursed or sponsored by a federal, state, or local government agency, or an institution of higher education as defined in 20 U.S.C. 1001(a).)
☐ Yes ☐ No If “Yes” please explain:

SECTION E: Investigator Certification

Please sign and return this disclosure to the Office of Research and Sponsored Programs, P.O. Box 10119 or rspa@lamar.edu.

I have read and understand this Conflicts of Interest disclosure. I agree to file a new or updated form if there are changes to my answers, or my research areas listed above are modified. I have also read and understand Lamar University’s Conflict of Interest Policy. I certify that the answers I have given are accurate, complete, and truthful.

Investigator Signature